

Form PTO-1390 (REV 01-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 9526-46	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371				US. APPLICATION NO. <u>10/517553</u> <small>(known, spec 37 CFR §1.5)</small>	
INTERNATIONAL APPLICATION NO. PCT/EP2003/005840		INTERNATIONAL FILING DATE 4 June 2003		PRIORITY DATE CLAIMED 28 June 2002	
TITLE OF INVENTION MULTISERVICE HEAT EXCHANGE UNIT					
APPLICANT(S) FOR DO/EO/US FILIPPI et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. §371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. §371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The U.S. has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. §371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. §154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)). 					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR §1.97 and §1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR §1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. §154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. §154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> <u>Return Receipt Postcard</u> b. <input checked="" type="checkbox"/> <u>Submission of Annexes to the International Preliminary Examination Report</u> c. <input type="checkbox"/> _____ 					

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/517553</div>		INTERNATIONAL APPLICATION NO. PCT/EP2003/005840		DT05 Rec'd PCT/PTO 0 8 DEC 2004 ATTORNEY'S DOCKET NUMBER 9526-46	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR §1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR §1.482) nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1110.00 International preliminary examination fee (37 CFR §1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$950.00 International preliminary examination fee (37 CFR §1.482) not paid to USPTO but international search fee (37 CFR §1.445(a)(2)) paid to USPTO.....\$790.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$750.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				<div style="text-align: center;">CALCULATIONS PTO USE ONLY</div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR §1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	15 -20 =	0	X \$18.00	\$	
Independent claims	1 -3 =	0	X \$88.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$300.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 950.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR §1.27. The fees indicated above are reduced by 1/2.				\$ -475.00	
SUBTOTAL =				\$ 475.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR §1.429(f)).				\$	
TOTAL NATIONAL FEE =				\$ 475.00	
Fee for recording the enclosed assignment (37 CFR §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§3.28, 3.31). \$40.00 per property.				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 515.00	
				Amount to be refunded:	\$
				Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>515.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>50-0951</u> in the amount of \$ <u>.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0951</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR §1.494 has not been met, a petition to revive (37 CFR §1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: J. Rodman Steele, Jr., Tel: (561) 653-5000 <div style="font-size: 1.2em; font-weight: bold;">Customer Number 30448</div>			<div style="text-align: center;"> </div> <div style="text-align: center;"> SIGNATURE <hr style="border: 0; border-top: 1px solid black; margin: 2px 0;"/> Mark D. Passler NAME <hr style="border: 0; border-top: 1px solid black; margin: 2px 0;"/> 40,764 REGISTRATION NUMBER <hr style="border: 0; border-top: 1px solid black; margin: 2px 0;"/> </div>		

10/517553

DTG Rec'd PCT/PTO 08 DEC 2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of FILIPPI et al.

Application No.

Examiner:

Filed: Herewith

Group Art Unit:

For: MULTISERVICE HEAT EXCHANGE UNIT

SUBMISSION OF COPY OF INTERNATIONAL APPLICATION

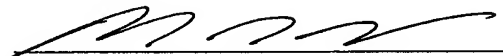
Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find attached a copy of the International application as filed. Please note that the application attached hereto is for information purposes only, as an amended version is filed herewith.

Respectfully submitted,

Dated: 12-8-04



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Docket No. 9526-46